

## DECLARATION FOR PATENT APPLICATION

I, **PETER ANTHONY MILLER** residing at 39 Sidney Grove, Newcastle u Tyne, Great Britain NE4 5PD, do TAKE OATH and say that I am the first, original and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

“APPARATUS FOR LIQUID PURIFICATION”

Sworn this 25th day of January, 1999

at Wallsend in the county of Tyne and Wear

Before me

*Diane E. Rooney*

Solicitor / Commissioner for Oaths

R. & R. F. KIDD & SPOOR  
42 High Street East.  
Wallsend. NE28 8PL  
Telephone 624428/9

PTO/SB/10 (12-97)  
Approved for use through 9/30/00. OMB 0651-0031  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>STATEMENT CLAIMING SMALL ENTITY STATUS</b> <b>(37 CFR 1.9(f) &amp; 1.27(c))--SMALL BUSINESS CONCERN</b>	Docket Number (Optional)
Applicant, Patentee, or Identifier: <u>PETER ANTHONY MILLER</u> Application or Patent No.: <u>PCT AUG 6 1 00442</u> Filed or Issued: <u>5 AUGUST 1996</u> Title: <u>"APPARATUS FOR LIQUID PURIFICATION"</u>	
I hereby state that I am <input type="checkbox"/> the owner of the small business concern identified below: <input type="checkbox"/> an official of the small business concern empowered to act on behalf of the concern identified below:	
NAME OF SMALL BUSINESS CONCERN <u>MILLER FILTER CO</u>	
ADDRESS OF SMALL BUSINESS CONCERN <u>3 SUNNINGDALE AV, GB-NEWCASTLE NE6 4DN</u>	
<p>I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.</p> <p>I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:</p> <p><input checked="" type="checkbox"/> the specification filed herewith with title as listed above.  <input type="checkbox"/> the application identified above.  <input type="checkbox"/> the patent identified above.</p> <p>If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).</p> <p><input checked="" type="checkbox"/> Each person, concern, or organization having any rights in the invention is listed below:  <input checked="" type="checkbox"/> no such person, concern, or organization exists.  <input type="checkbox"/> each such person, concern, or organization is listed below.</p> <p>Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)</p> <p>I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))</p>	
NAME OF PERSON SIGNING <u>PETER ANTHONY MILLER</u>	
TITLE OF PERSON IF OTHER THAN OWNER _____	
ADDRESS OF PERSON SIGNING <u>39 SENEY GATE, NEWCASTLE U NINE GREAT BRITAIN NE4 5PD</u>	
SIGNATURE <u>[Signature]</u> DATE <u>28 JAN, 1999</u>	

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.